AI Tips & Tools for Financial Planners

A Quick Reference Guide

1. Productivity & Efficiency

• **ChatGPT / Claude** – Draft emails, reports, and ideas.

• **Otter.ai / Fireflies.ai** – Meeting notes and transcription.

• **Grammarly / Jasper** – Compliance-friendly writing.

• **Motion / Reclaim.ai** – Smart task management.

2. Client Engagement & Education

• **Canva (AI features)** – Visual storytelling for clients.

• **Descript / Synthesia** – Create explainer videos.

• **Fathom / Explainpaper** – Simplify complex reports.

• **Chatbot assistants** – Automated FAQ responses (with oversight).

3. Compliance & Risk

• **MyRIACompliance AI / Proofpoint AI** – Compliance monitoring.

• **AI safety tip:** Never enter client data into public AI tools.

• **Tip:** Use enterprise AI within CRM or planning software for extra security.

4. Strategic Planning & Analysis

• **Portfolio Pilot / YCharts AI** – Market analysis and portfolio insights.

• **PlanIQ (eMoney)** – Scenario testing with AI insights.

• **WisdomAI (Wealthbox)** – Data-driven planning recommendations.

5. Overcoming Resistance & Building Confidence

• Start with **non-client tasks** (summaries, templates).

• Host **"AI Fridays"** — short internal demos or tool tests.

• Recognize that **AI won't replace advisors** — but advisors using AI may outperform those who don't.

• Encourage peer learning to reduce intimidation.

Quick Adoption Tips

■ Test before you trust.

■ Focus on time-saving first.

■ Keep compliance in the loop.

■ Document your AI use process.